



# SESSION 3

BBI JU  
Info Day 2016

@BBI2020  
#BBIInfoDay



**Rules for participation  
Call conditions and  
evaluation process**



**Elisa TESSELLI**

Project Manager BBI JU

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- Rules for participation & call conditions
- The evaluation process



# Legal & financial aspects

- BBI JU follows H2020 Rules for Participation

Derogation from the Rules for Participation:

-> **No BBI JU funding for large industries in RIA & CSA**

## More details:

- **BBI JU Annual Work Plan** provides the legal information for submitting a proposal to a call
- Specific details for each call can be found in the **BBI JU Guide for Applicants** (GfA)
- **Frequently Asked Questions** document

**BBI website**

<http://www.bbi-europe.eu/participate/calls-proposals-2016>



# Rules for participation

= H2020



## Who can apply?

Any '**legal entity**' (natural or legal person created & registered under national law) e.g. any company, big or small, research organisations, universities, non-governmental organisations, etc.



# Rules for participation

= H2020

## What is the consortium size?

The size & scope can vary depending on the topic (see topics description in the work plan)

- **RIA and IA:** at least 3 'legal entities' established in at least 3 different Member States and/or Associated Countries. The entities must be independent from each other
- **CSA:** can be carried by a single 'legal entity'
- Possibility of participants from **international organisations or third countries** (but none of them are eligible for funding)







# BBI JU 'Actions'

Action	TRL <sup>(1)</sup>	Duration	BBI JU funding %
<b>CSA</b>	n/a	1-3y	100% <sup>(2)</sup>
<b>RIA</b>	3-5	Up to 4y	
<b>IA-DEMO</b>	6-7	4-5y	70% <sup>(3)</sup>
<b>IA-Flagship</b>	8		

(1) **TRL** = Technology Readiness Level (value 1-9; higher TRL = closer to market)

(2) However: de facto **no** (0%) BBI JU funding for large enterprises

(3) Non-profit beneficiaries / linked third parties may be reimbursed at 100%



# Participation & funding rates per action

Participant	RIA	IA	CSA
Large Industries	/	70%	/
SMEs	100%	70%	100%
Non profit, legal entities (Universities, RTOs, NGOs etc.)	100%	100%	100%





# E.g. financial regime: RIA & CSA

Funding Rates 100% (n/a for large industry)\*

Participant	(A) Direct personnel costs/€	(B) Other direct cost/€	(F) Indirect Costs/€ =0.25 (A+B)	(H) Total estimated [eligible] costs/€	(I) Reimb rate (%)	(J) Max grant (=H*I)	(K) Requested grant/€
RTO	400	300	175	875	100	875	875
Large Industry	500	900	350	1750	NA	0	0
SME	350	50	100	500	100	500	500
University	300	50	87.5	437.5	100	437.5	437.5
Total				3562.5		1812.5	1812.5

€ 2 -5 million

\* as per Derogation from H2020 RoP

Note: This is an example for RIA as provided in the GfA for Call 2015. Costs in k€.

Consortium / Industry own contribution to the project is k€1.750 (total costs not reimbursed by the BBI JU), which represents about 50% of the total budget in this case (k€ 3562.5).



# E.g. financial regime: IAs

Funding Rates 70% (100% for non profit org)

Participant	(A) Direct personnel costs/€	(B) Other direct cost/€	(F) Indirect Costs/€ =0.25 (A+B)	(H) Total estimated [eligible] costs/€	(I) Reimb rate (%)	(J) Max grant (=H*I)	(K) Requested grant/€
RTO	200	240	110	550	100	550	550
1. Large Industry	2500	6000	2125	10625	70	7437.5	4872.5
2. Large Industry	1000	1000	500	2500	70	1750	1750
SME	210	650	215	1075	70	752.5	752.5
Total			€ 15 million ->	14750		10490	7925

Note: This is an example for IA (DEMO) as provided in the GfA for Call 2015. Costs in k€. SME & large industry partners can receive 70% and the RTO can receive 100% of the total eligible costs. Participants can request a lower funding (eg 1. Large Industry) → **increasing the consortium/ industry own contribution** in the project (in this case to 46%) → **in line with BBI JU policy.**



# Consortium own contribution

## Industrial Contribution is crucial

- BBI JU policy is to increase and **leverage the private investments**, and especially to increase the large industry's own contribution to projects

### 3 types of own contribution

- **In kind:**
  - No funding for large enterprises: 100% of their eligible costs is own contribution – industry commitment
  - RIA and CSA: 100% reimbursement rate. But the request can be less → visible in Part A (budget)
- **In cash:** cash contribution given to one or more partners
- **Additional investments**





# Additional Investments

## Definition

Activities **outside the work plan** of the BBI JU contributing to the objectives of the BBI Initiative (investments at the implementation or exploitation phase infrastructure, durable equipment,...)

**At the BBI JU programme level:** industry via BIC shall provide at least € 1 755 million in additional activities (\*)

## **At the proposal/project level:**

No obligation, but recommended

Costs linked to additional investments are:

- not eligible (= not reimbursed by the BBI JU)
- should not be included in budget table of the proposal
- But described in the proposal
- During evaluation -> assessed within the criterion Impact

(\*) COUNCIL REGULATION (EU) [No 560/2014](#) of 6 May 2014 establishing the BBI JU.



To recap

# Financial Regime in BBI JU Calls

Industry-driven programme



the participation of the industry is crucial

- RIA and CSA: no funding for large companies  
→ specific for BBI JU
- Innovation Actions: 70% reimbursement rate  
→ common for H2020

## Consortium own contribution

- requesting less than funding rate
- « Additional investments »  
→ specific for BBI JU

**Is positive  
in evaluation**



- Rules for participation & call conditions
- **The evaluation process**



# Evaluation is part of a bigger process







Admissibility  
check

Eligibility  
check

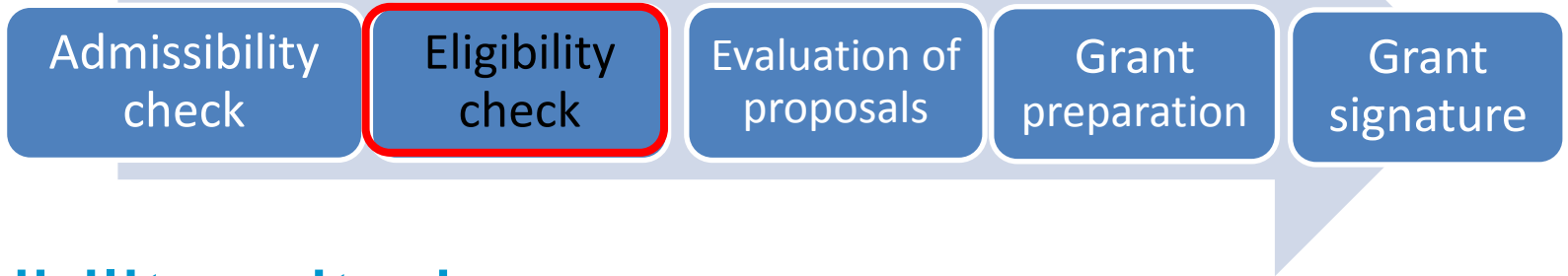
Evaluation of  
proposals

Grant  
preparation

Grant  
signature

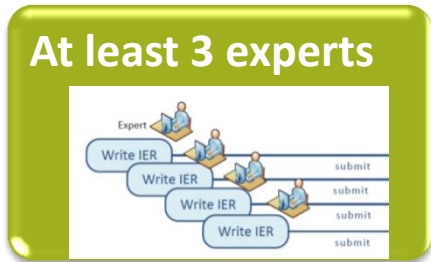
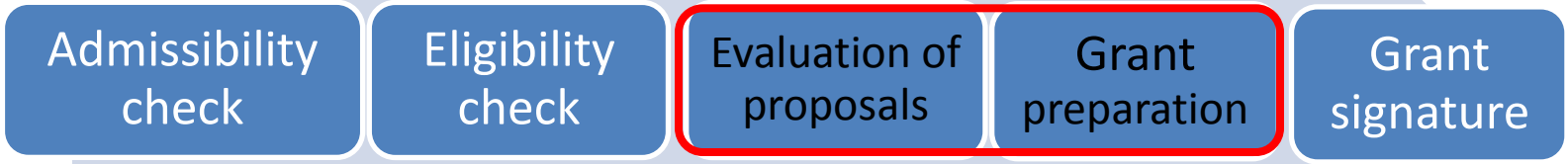
## Admissibility criteria

- **Submission system**
  - A proposal is submitted via the **official online submission system** before the call deadline
- **Proposal complete**
  - The proposal must be complete – accompanied by the relevant administrative forms, proposal description and any supporting documents specified in the call
  - The proposal length has page limit: if longer the experts will be instructed to read until the page limit



## Eligibility criteria

- Topic
  - Contents are in line with the topic description of the call
- Minimum standard conditions
  - 3 legal entities from 3 different MS or associated countries
- Any other eligibility conditions set out in the call or topic page





# Evaluation process

Admissibility  
check

Eligibility  
check

Evaluation of  
proposals

Grant  
preparation

Grant  
signature

Call closure

8 months

GA signature

CALL  
2016 08/09/2016

08/05/2017

Receipt of  
proposals

Finalisation  
of Evaluation

GAP

- Interaction BBI JU - Consortium
- Transformation proposal - DoA
- No Nego
- Correction of clerical errors





# Why participate?

- Contribute to a post-petroleum society





**Thank you!**