

#BBInfoDay

SESSION 3

Information on
proposal
submission and
evaluation

INFO DAY 2017



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#BBIInfoDay

Call
conditions
and rules for
participation





Source: pictures Huffingtonpost



What does BBI JU finance?

BBI JU funds 3 types of actions:



Research & Innovation – RIA
TRL 3-5



Innovation Actions – IA
Demo TRL 6-7 and Flagships TRL 8



Coordination and Support – CSA



Legal & and financial aspects

- BBI JU calls follow H2020 rules for participation

BUT with one derogation:

- > No BBI JU funding for large industries in RIA & CSA

- All information in:

<http://www.bbi-europe.eu/participate/calls-proposals-2017>

- BBI JU Annual Work Plan provides the legal information for submitting a proposal to a call
- Specific details for each call can be found in the **BBI JU Guide for Applicants** (GfA)
- **Frequently Asked Questions** document



Rules for participation 1/2

= H2020



Who can apply?

Any '**legal entity**' (natural or legal person created & registered under national law) e.g. any company, big or small, research organisations, universities, non-governmental organisations, etc.



What is the consortium size?

The size & scope can vary depending on the topic (see topics description in the work plan)

- **RIA and IA:** at least 3 ‘legal entities’ established in at least 3 different Member States and/or Associated Countries (AC). The entities must be independent from each other
- **CSA:** can be carried by a single ‘legal entity’
- Possibility of participants from **international organisations or non EU/AC**



Eligibility for funding “rest of the world”



H2020 is open to the world so do BBI JU calls

Automatically eligible for funding

- **Associated countries** ([sixteen countries associated to Horizon 2020](#)).
- **Developing countries:** the countries in [Annex A of the Horizon 2020 Work Programmes](#) are eligible

NOT automatically eligible for funding

- **Industrialised countries and emerging economies:** participants are not eligible BUT some countries have forms of co-funding mechanisms to support H2020
- **EXCEPTIONS** if: bilateral agreement; country is identified in WP or call; country’s participation is deemed essential for EC



Participation & funding rates per action

Participant	RIA	IA	CSA
Large Industries	/	70%	/
SMEs	100%	70%	100%
Non profit, legal entities (Universities, RTOs, NGOs etc.)	100%	100%	100%



Consortium own contribution



- **Industrial contribution matters!**
- **3 types of contribution:**

In kind

- When industry is not funded: 100% of its contribution is in kind (industry commitment)
- When applicant request less than eligible (visible in part A: budget)

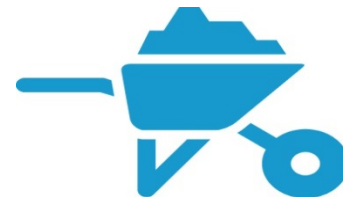
In cash

- Financial contribution between partners

Additional investments



Additional Investments



- **WHAT are additional investments?**
 - Activities **outside the work plan** of the BBI JU contributing to the objectives of the BBI Initiative (investments at the implementation or exploitation phase infrastructure, durable equipment,...)
- **WHY do you need additional investments?**
 - Industry via BIC shall provide at least € 1 755 million in additional investments*
- **HOW are additional investments registered?**
 - Costs are not eligible -> not included in the budget table but described in the proposal (part A and B)
 - at evaluation stage they are assessed within the criterion Impact

(*) COUNCIL REGULATION (EU) [No 560/2014](#) of 6 May 2014 establishing the BBI JU



E.g. financial regime: RIA & CSA

Funding Rates 100% (n/a for large industry)*

Participant	(A) Direct personnel costs/€	(B) Other direct cost/€	(F) Indirect Costs/€ =0.25 (A+B)	(H) Total estimated [eligible] costs/€	(I) Reimb rate (%)	(J) Max grant (=H*I)	(K) Requested grant/€
RTO	400	300	175	875	100	875	875
Large Industry	500	900	350	1750	NA	0	0
SME	350	50	100	500	100	500	500
Univer-sity	300	50	87.5	437.5	100	437.5	437.5
Total				3562.5		1812.5	1812.5

* as per Derogation from H2020 RoP

€ 2 -5 million

Note: This is an example for RIA as provided in the GfA for Call 2015. Costs in k€

Consortium / Industry own contribution to the project is k€1.750 (total costs not reimbursed by the BBI JU), which represents about 50% of the total budget in this case (k€ 3562.5).



E.g. financial regime: IAs

Funding Rates 70% (100% for non profit org)

Participant	(A) Direct person- nel costs/€	(B) Other direct cost/€	(F) Indirect Costs/€ =0.25 (A+B)	(H) Total estimated [eligible] costs/€	(I) Reimb rate (%)	(J) Max grant (=H*I)	(K) Reque- sted grant/€
RTO	200	240	110	550	100	550	550
1. Large Industry	2500	6000	2125	10625	70	7437.5	4872.5
2. Large Industry	1000	1000	500	2500	70	1750	1750
SME	210	650	215	1075	70	752.5	752.5
Total			€ 15 million ->	14750		10490	7925

Note: This is an example for IA (DEMO) as provided in the GfA for Call 2015. Costs in k€. SME & large industry partners can receive 70% and the RTO can receive 100% of the total eligible costs.

Participants can request a lower funding (eg 1. Large Industry) -> **increasing the consortium/ industry own contribution** in the project (in this case to 46%) -> **in line with BBI JU policy.**



To recap

Financial Regime in BBI JU Calls

Industry-driven programme



the participation of the industry matters

- RIA and CSA: no funding for large companies
→ specific for BBI JU
- Innovation Actions: 70% reimbursement rate
→ common for H2020

Consortium own contribution

- requesting less than funding rate
- « Additional investments »
→ specific for BBI JU

**Is positive
in evaluation**



Why participate?

A child wearing a blue helmet and a yellow shirt is riding a pink tricycle on a dirt path. The background is a lush green forest. The text is overlaid on the left side of the image.

Driving Europe's transition
to a **low-carbon**
bio-based economy

Thank
you!

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